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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): SOLGAARD, O.; HERITAGE, J.; BHATTARI, A.

Docket No.

UC97-156-7

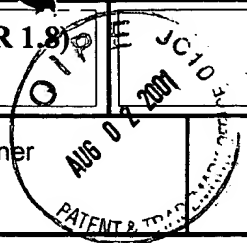
Serial No.

09/813,446

Filing Date

MARCH 20, 2001

Examiner



Group Art Unit

Invention: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

I hereby certify that this Notice to file missing parts of Nonprovisional Application (Page 1 & 2)

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

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(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/813,446	03/20/2001	Olav Solgaard	UC97-156-7

CONFIRMATION NO. 3284

FORMALITIES LETTER



OC000000006130327

John P. O'Banion
O'BANION & RITCHEY LLP
Suite 1550
400 Capitol Mall
Sacramento, CA 95814

Date Mailed: 05/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2838.
 - \$1863 for 207 total claims over 20.
 - \$840 for 21 independent claims over 3.
 - \$135 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3258.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

08/07/2001 MBERHE 00000042 09813446

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	1863.00 OP
04 FC:202	840.00 OP
05 FC:204	135.00 OP

PART 1 - ATTORNEY/APPLICANT COPY



Sector

PATENT

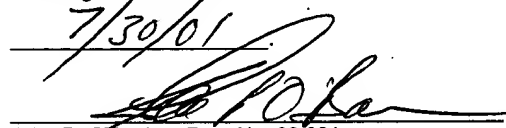
Docket No.: UC97-156-7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: OLAV SOLGAARD; JONATHAN P. HERITAGE; AMAL R. BHATTARAI
Serial No.: 09/813,446
Filed: MARCH 20, 2001
For: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH
Group:
Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

7/30/01

John P. O'Banion, Reg. No. 33,201
7/30/01
Date of Signature

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

**SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed May 30, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Check in the amount of \$3,258 (\$3,193 filing fees and \$65 surcharge for the fees due as a small entity).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED									
Number filed				Number Extra		Rate		Basic Fee	
								\$ 710.00	
Total									
Claims 37 CFR 1.16(c)		227	- 20	=	207	X	\$18.00	=	\$ 3,726.00
Independent									
Claims (37 CFR 1.16(b))		24	- 3	=	21	X	\$80.00	=	\$ 1,680.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))						+	\$270.00	=	\$ 270.00
Filing Fee Calculation									\$ 6,386.00
Surcharge									\$ 130.00
TOTAL FILING FEE									\$ 6,516.00

Small Entity Statement(s)

X Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ 3,258.00

Amount already paid LESS (\$)

TOTAL FILING FEES DUE \$ 3,258.00

___ Assignment recording fee \$ 0

___ Petition fee \$ 0

TOTAL FEES DUE \$ 3,258.00

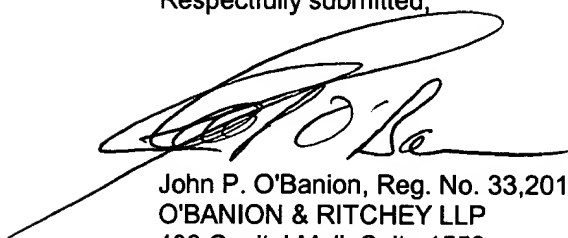
Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated:

7/30/01.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John P. O'Banion", written over a horizontal line.

John P. O'Banion, Reg. No. 33,201
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814
(916) 498-1010